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# MULTIPLICITY OF FILTERED RINGS

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## MULTIPLICITY OF FILTERED RINGS\*

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### §1 Introduction and results.

(1.1) Let  $(V, p)$  be a germ of a projective variety at a closed point  $p$ . It is a fundamental problem to study the ring theoretic properties of the local ring  $O_{V,p}$  by means of resolution of singularities ;  $\psi : (\tilde{V}, E) \rightarrow (V, p)$ . In the case  $\dim V = 2$ , Artin's fundamental cycle for the resolution  $\psi$  is important and gives many information of singularities. Let  $Z_0$  be Artin's fundamental cycle for  $\psi$ . For example the degree  $(Z_0)^2$  is independent of choice of the resolution  $\psi$  and we have the relation

$$-(Z_0)^2 \leq \text{the multiplicity of } (V, p)$$

( Ph. Wagreich cf. [Wagreich] ). Unfortunately no higher dimensional analogue of this object are studied . In this note we will study the multiplicity of singularities by filtered blowing-ups. We prove an inequality (1.6) which gives a lower bound of multiplicity by the data of tangent cone of the filtration.

An application of our results to a purely elliptic singularity of special type ( $[IW][Y][T1]$ ) will be given in another note for the talk of "COMMUTATIVE RING THEORY ; JAPAN NO.11".

(1.2) Throughout this note we will fix the following situation. Our singularity  $(V, p)$  or local ring  $(A, m) = (O_{(V,p)}, m)$  is always assumed as the material coming from some scheme over a field  $k$ . Further we will assume  $(A, m)$  is analytically unramified after (1.6). In particular,

$(A, m)$  :  $d$ -dimensional Noether local ring over a field  $k$ ,

$F = \{F^k\}_{k \geq 0}$  : a filtration of ideals as follows ;

$$(F^0 = A \supset F^1 = m, F^k \supset F^{k+1}, F^k \cdot F^j \subset F^{k+j},$$

(\*) This is a preliminary version. 内容の変化にとわい, 講演時 "Multiplicity of normal graded rings" より, 七行も上記のものに変わりました。

$R = \oplus_{l \geq 0} F^l \cdot T^l \subset A[T]$  is a finitely generated  $A$ -algebra, where  $T$  is an indeterminate.

There is an integer  $N > 0$  with  $(F^N)^m = F^{Nm}$  for  $m \geq 0$ .

$F^N : m$ -primary )

By these assumption,  $G_+ = \oplus_{l \geq 1} F^l / F^{l+1}$  is the homogeneous maximal ideal of  $G$ .

Problem (1.2.1). Study the multiplicity  $e(m, A)$  of  $(A, m)$  from the associated graded ring  $gr_F A = G = \oplus_{k \geq 0} F^k / F^{k+1}$  and compare the integers  $e(m, A)$  and  $e(G_+, G)$ .

( We hope that these are very near when  $G$  is a "good" ring. )

First we shall prove the following .

FACT (1.3). Let the situation be as above. Then

$$l(A/m^{l+1}) \leq l(G/(G_+)^{l+1}) \text{ for } l \geq 0.$$

In particular we obtain the relations  $e(m, A) \leq e(G_+, G)$  and  $\text{embdim } A \leq \text{embdim } G$ .

*Proof.* The induced filtration on  $A/m^{l+1}$  by  $F = \{F^k\}$  is given as follows:

$$\begin{array}{ccccccc} 0 & \rightarrow & m^{l+1} & \longrightarrow & A & \longrightarrow & A/m^{l+1} \rightarrow 0 \\ & & \cup \parallel & & \cup \parallel & & \cup \parallel \\ 0 & \rightarrow & m^{l+1} \cap F^k & \rightarrow & F^k & \rightarrow & F^k(A/m^{l+1}) \rightarrow 0 \end{array}$$

Hence we obtain  $gr_F(A/m^{l+1}) = gr_F(A)/gr_F(m^{l+1})$ . Here we see

$$\begin{aligned} gr_F(m^{l+1}) &= \oplus_{k \geq 0} m^{l+1} \cap F^k / m^{l+1} \cap F^{k+1} \\ &\cong \oplus_{k \geq 0} \frac{F^k \cap m^{l+1} + F^{k+1}}{F^{k+1}}, \\ (G_+)^{l+1} &= \oplus_{k \geq l+1} \frac{\sum_{m_1 + \dots + m_{l+1} = k, m_i \geq 1} F^{m_1} \dots F^{m_{l+1}} + F^{k+1}}{F^{k+1}}. \end{aligned}$$

Clearly we have

$$\sum_{m_1 + \dots + m_{l+1} = k, m_i \geq 1} F^{m_1} \dots F^{m_{l+1}} \subset F^k \cap m^{l+1}.$$

Hence

$$(G_+)^{l+1} \subset gr_F(m^{l+1}).$$

Therefore

$$l(A/m^{l+1}) \leq l(gr_F(A/m^{l+1})) = l(G/gr_F(m^{l+1})) \leq l(G/(G_+)^{l+1}).$$

*Example(1.4).* We shall introduce a filtration  $F$  on the regular local ring  $A = k[[x, y, z]]$  with  $m = (x, y, z)A$  by means of the associated order function  $\nu$  as in the following ( cf. [Rees] ) :

$\nu(x) = \nu(y) = \nu(z) = 1$ , and  $\nu(x^2 + y^2 + z^2) = 3(> 2)$  with  $F^k = \{\alpha \in A \mid \nu(\alpha) \geq k\} \subset A$ .

We can easily check that  $G = gr_F(A) \cong k[x, y, z, w]/x^2 + y^2 + z^2$ ,  $e(m, A) = 1$  and  $e(G_+, G) = 2$ .

In fact we have

$$F^0 = A$$

$$F^1 = m$$

$$F^2 = m^2$$

$$F^3 = m^3 + (x^2 + y^2 + z^2)A$$

$$F^4 = m^4 + (x^2 + y^2 + z^2)m$$

$$F^5 = m^5 + (x^2 + y^2 + z^2)m^2$$

$$F^6 = m^6 + (x^2 + y^2 + z^2)m^3 + (x^2 + y^2 + z^2)^2 A$$

..... ,

hence  $x^2 + y^2 + z^2 \in F^3 - F^4$  can not be represented by  $x, y, z \in F^1 - F^2$  in the ring  $G$ . To compute  $G$  as in the assertion, remark that if we regard  $A$  in the form  $A \cong k[[x, y, z, w]/(w - x^2 - y^2 - z^2)]$ , then  $F$  is the induced filtration from the filtration on  $k[[x, y, z, w]]$  by the degree of monomials as  $F^k = \{x^a y^b z^c w^d \in k[[x, y, z, w]] \mid a + b + c + 3d \geq k\}A$ .

*Example(1.5).* We introduce the filtration  $F$  on the local ring  $A (= k[[b, c, y, z]]/b^2 + (y^3 + z^7 + c^{21})c) \cong k[[a, b, c, y, z]]/(a + y^3 + z^7 + c^{21}, b^2 - ac)$  with  $m = (a, b, c, y, z)A$  by the order function  $\nu$  as :

$$\nu(a) = \nu(y^3 + z^7 + c^{21}) = 23, \nu(b) = 12, \nu(c) = 1, \nu(y) = 7 \text{ and } \nu(z) = 3.$$

Now  $G = gr_F(A) \cong k[a, b, c, y, z]/(y^3 + z^7 + c^{21}, b^2 - ac)$ ,  $e(m, A) = 2$  and  $e(G_+, G) = 6$ . Further one can see that  $G$  is a normal domain.

These examples say that the integers  $e(m, A)$  and  $e(G_+, G)$  are different, in general, even if we assume that  $G$  is a normal Gorenstein domain. The next is the main result of this note which gives a lower bound of  $e(m, A)$  from the data of  $G$ .

**THEOREM (1.6).** *Let the situation be as in (1.2). Further we assume that  $A$  is analytically unramified and that  $k$  is an infinite field. Let a system of elements  $x_1, \dots, x_s \in G_+$  be a minimal homogeneous generator system of  $G_+$  with  $\deg x_1 \leq \deg x_2 \leq \dots \leq \deg x_s$ , with  $s \geq d = \dim A = \dim G$ . Then we have the following*

(1)

$$\begin{aligned} \left( \prod_{i=1}^d \deg x_i \right) \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda) \\ \leq_{(i)} e(m, A) \\ \leq e(G_+, G) \leq_{(ii)} (\deg x_s)^d \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda). \end{aligned}$$

where  $P(G, \lambda) = \sum_{k \geq 0} l(G_k) \lambda^k \in \mathbb{Z}[[\lambda]]$ .

(2) If the equality holds in (i), then  $e(m, A) = e(G_+, G)$  and there is a parameter system  $y_1, \dots, y_d$  of  $A$  whose initial form gives a homogeneous parameter system  $in(y_1), \dots, in(y_d)$  of  $G$  such that  $\deg in(y_i) = \deg x_i$  for  $i = 1, \dots, d$ .

(3) If the equality holds in (ii) and  $G$  is normal with  $G.C.D.(\deg x_1, \dots, \deg x_s) = 1$ , then  $e(m, A) = e(G_+, G)$  and  $G$  is a homogeneous ring. That is  $\deg x_i = 1$  holds for  $i = 1, \dots, s$ .

In general we have the following.

**Remark (1.7)** (1) Let  $R = R(E, D)$  be a normal  $d$ -dimensional graded ring with Demazure's description.

$$D^{d-1} = \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(R, \lambda)$$

where  $P(R, \lambda) = \sum_{k \geq 0} l(R_k) \lambda^k \in \mathbb{Z}[[\lambda]]$ , with  $d = \dim R$ .

(2) For a graded complete intersection

$$R = k[x_1, \dots, x_{d+s}]/(f_1, \dots, f_s),$$

where  $f_1, \dots, f_s$  is a homogeneous regular sequence of  $k[x_1, \dots, x_{d+s}]$ , we have

$$P(R, \lambda) = \frac{(1 - \lambda^{\deg f_1}) \dots (1 - \lambda^{\deg f_s})}{(1 - \lambda^{\deg x_1}) \dots (1 - \lambda^{\deg x_{d+s}})}.$$

Hence

$$\lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(R, \lambda) = \frac{(\deg f_1) \dots (\deg f_s)}{(\deg x_1) \dots (\deg x_{d+s})}.$$

(1.8) By using (1.7), we will observe (1.4) and (1.5).

(1.8.1) For  $G$  of (1.4), we have  $\deg x = \deg y = \deg z = 1$  and  $\deg w = 3$ . Hence

$$1.1.1. \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda) = 1.1.1. \frac{2}{1.1.1.3} = \frac{2}{3} (\leq 1 = e(m, A)).$$

(1.8.2) For  $G$  of (1.5), we have  $\deg a = 23$ ,  $\deg b = 12$ ,  $\deg c = 1$ ,  $\deg y = 7$  and  $\deg z = 3$ .  
Hence

$$1.3.7. \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda) = 1.3.7. \frac{21.24}{1.3.7.12.23} = \frac{42}{23} (\leq 2 = e(m, A)).$$

COROLLARY (1.9). Let the situation be as in (1.6).

(1) If the condition

$$\text{the round up of the number } \left( \prod_{i=1}^d \deg x_i \right) \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda) = e(G_+, G)$$

holds, then the equality  $e(m, A) = e(G_+, G)$  holds.

(2) If  $G$  is a hypersurface with the isolated singularity at  $G_+$ , then  $e(m, A) = e(G_+, G)$ .

*Proof.* (1) is obvious from (1) of Theorem (1.6). (2) Let us represent  $G$  as  $G = k[x_1, \dots, x_{d+1}]/f$  with  $\deg f = h$  and  $\deg x_i = q_i$ . Let us represent  $f$  by a linear combination of monomials of the form  $x^M = \prod_{i=1}^s x_i^{m_i}$  with  $m_i \geq 0$  as

$$f = \sum_{M \in \left( \mathbb{Z}_{\geq 0} \right)^{d+1}} a_M x^M \quad \text{with } a_M \in k$$

We define the Newton support of  $f$  by

$$\text{Support}(f) = \{M \in \left( \mathbb{Z}_{\geq 0} \right)^{d+1} \mid a_M \neq 0\}$$

The condition  $\sum_{i=1}^s q_i m_i = h$  implies  $\frac{h}{q_{d+1}} \leq \sum_{i=1}^s m_i \leq \frac{h}{q_1}$ . Hence we have  $q_1 \dots q_d \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda) = \frac{h}{q_{d+1}} \leq \text{multiplicity of } f = \text{minimum of } \sum_{i=1}^{d+1} m_i$  for  $x^M \in \text{Support}(f) \leq \frac{h}{q_1} = q_2 \dots q_{d+1} D^{d-1}$ . Since  $\{f = 0\}$  has only isolated singularity at  $o$ , a monomial of form  $x_i^{m_i} x_{j(i)}$  with  $j(i) \in \{1, \dots, d+1\}$  is contained in  $\text{Support}(f)$  for each  $i$  ( K. Saito [S1], V.I. Arnold, P. Orlik- Ph. Wagreich, and Fletcher[Fletcher] ). In particular  $x_{d+1}^{[h/q_{d+1}]} x_{j(d+1)} \in \text{Support}(f)$ . Hence the multiplicity of  $f$  equals the round up of the rational number  $\frac{h}{q_{d+1}}$ .

*Example (1.10).* Let  $A$  be ( "a normal graded complete intersection " ) as follows :  $A = k[[x, y, z, w, u]]/(f_1, f_2)$  with the filtration  $F$  on  $A$  naturally induced as  $\deg x = \deg y = \deg z = \deg w = 1$ ,  $\deg u = 2$  and  $\deg f_1 = \deg f_2 = 3$ . We have  $G = k[x, y, z, w, u]/(f_1, f_2)$  with  $\deg x = \deg y = \deg z = \deg w = 1$ ,  $\deg u = 2$  and  $\deg f_1 = \deg f_2 = 3$ . By (1.6) we obtain

$$\frac{9}{2} \leq e(m, A) \leq e(G_+, G) \leq 36.$$

Since  $(A, m)$  is not a tangential complete intersection with respect to the maximal-ideal-adic filtration on  $A$ , the lower bound is the best. But the upper bound of this implication is very bad.

In the rest of this note we give a outline of proof of Theorem (1.6) and state some generalities on the rational number  $\left( \prod_{i=1}^d \deg x_i \right) \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda)$  for the normal graded ring  $R$  in terms of Demazure's description of  $R$ .

## §2 The openness of reduction property.

The purpose of this section is to prove (2.7) which we will use in §4.

(2.1) Let  $(V, p)$  be a singularity over a field  $k$  and  $(O_{V,p}, m)$  be the associated local ring. We assume that  $p$  is a closed point of a projective variety  $\bar{V}$  over the field  $k$ . Let  $I$  be an  $m$ -primary ideal of  $O_{V,p}$ . Let  $\pi : (\tilde{V}, A) \rightarrow (V, p)$  be a projective morphism such that  $I \cdot O_{\tilde{V}}$  is a locally principal  $O_{\tilde{V}}$ -module. We will represent  $I \cdot O_{\tilde{V}}$  as

$$I \cdot O_{\tilde{V}} = O_{\tilde{V}}(-D(I, \pi))$$

by a Cartier divisor on  $\tilde{V}$ .

THEOREM (2.2). *Let the situation below as above. Assume  $d = \dim O_{\bar{V}}$ . Then  $e(I, O_{V,p}) = (-1)^{d+1} D(I, \pi)^d$ .*

*Proof.* Let a projective variety  $\bar{V}$  be a compactification of  $V$  and  $\psi : \bar{V}_1 \rightarrow \bar{V}$  be the blowing-up of  $\bar{V}$  with center  $I$ . There is a natural morphism  $\tau : \bar{V} \rightarrow \bar{V}_1$  which satisfies the relation  $\pi = \psi \cdot \tau$ . We have  $I^k = \psi_*(I^k O_{\bar{V}})$  and  $R^i \psi_*(I^k O_{\bar{V}}) = 0$  ( $i \geq 1$ ) for arbitrary large integer  $k$  (EGA III). We have  $l(I^k/I^{k+1}) = \chi(\bar{V}, I^k/I^{k+1})$ . By Leray's spectral sequence

$$E_2^{p,q} = H^p(\bar{V}, R^q \psi_*(I^k O_{\bar{V}_1})) \Rightarrow_p H^n(\bar{V}_1, I^k O_{\bar{V}_1}),$$

we have

$$\sum_{q \geq 0} (-1)^q \chi(\bar{V}, R^q \psi_*(I^k O_{\bar{V}_1})) = \chi(\bar{V}_1, I^k O_{\bar{V}_1}).$$

Hence for  $k \gg 0$ , we obtain

$$\begin{aligned} l(I^k/I^{k+1}) &= \chi(\bar{V}, I^k O_{\bar{V}}) - \chi(\bar{V}, I^{k+1} O_{\bar{V}}) \\ &= \chi(\bar{V}_1, I^k O_{\bar{V}_1}) - \chi(\bar{V}_1, I^{k+1} O_{\bar{V}_1}). \end{aligned}$$

Let  $P \in \mathbb{Q}[t]$  be the Hilbert-Samuel polynomial defined as  $P(k) = \chi(\bar{V}_1, I^k O_{\bar{V}_1}/I^{k+1} O_{\bar{V}_1})$  for  $k \gg 0$  [Kl]. We have degree  $P = d - 1$ . Let us set of polynomials  $\Delta^{(m)} P$  for  $1 \leq m \leq d$  as ;  $\Delta^{(1)} P(k) = P(k) - P(k-1)$ , . . . ,  $\Delta^{(m)} P = \Delta(\Delta^{(m-1)} P)$ , inductively. Here  $\Delta^{(d-1)} P$  is the constant function  $e(I, O_{V,p})$ . Further we have

$$\begin{aligned} \Delta^{(1)} P(k) &= \chi(\bar{V}_1, I^k O_{\bar{V}_1}) - \chi(\bar{V}_1, I^{k+1} O_{\bar{V}_1}) - \chi(\bar{V}_1, I^{k-1} O_{\bar{V}_1}) + \chi(\bar{V}_1, I^k O_{\bar{V}_1}) \\ &= -\chi(\bar{V}_1, I^{k+1} O_{\bar{V}_1}) + 2 \cdot \chi(\bar{V}_1, I^k O_{\bar{V}_1}) - \chi(\bar{V}_1, I^{k-1} O_{\bar{V}_1}). \end{aligned}$$

An by similar calculations we obtain

$$\begin{aligned} \Delta^{(d-1)} P(k) &= - \sum_{i=0}^d \binom{d}{i} (-1)^i \chi(\bar{V}_1, I^{k+1-i} O_{\bar{V}_1}) \\ &= -\deg_{O_V(-D(I, \psi))}(O_{\text{bar } V_1}) \\ &= -(O_{V_1}(-D(I, \psi))^d \cdot O_{V_1})_{V_1} \text{ ( the intersection symbol [Kl] )} \\ &= (-1)^{d+1} D(I, \psi)^d. \end{aligned}$$

Since  $\tau$  is birational,  $(-1)^{d+1} D(I, \psi)^d = (-1)^{d+1} D(I, \pi)^d$ .

Hence  $e(I, O_{V,p}) = (-1)^{d+1} D(I, \pi)^d$ . Q.E.D.



We will apply (2.2) to the following.

Let  $J \subset I$  be  $m$ -primary ideals of  $O_{V,p}$ . Recall  $J$  is a reduction of  $I$  if there is an integer  $r > 0$  such that  $I^r J = I^{r+1}$  (Northcott-Rees [NR]).

**THEOREM (2.3)** ( D. REES [HIO][REES], SEE ALSO J. LIPMAN [LIPMAN] ). Assume that  $(A, m)$  is analytically unramified. Then  $J$  is a reduction of  $I$  if and only if the equality  $e(J, O_{V,p}) = e(I, O_{V,p})$  holds.

**COROLLARY (2.4).** For  $m$ -primary ideals  $J \subset I$ , the following three conditions are equivalent each other.

- (1) The equality  $e(J, O_{V,p}) = e(I, O_{V,p})$  holds.
- (2)  $J$  is a reduction of  $I$ .
- (3) There exists a birational morphism  $\psi : \tilde{V} \rightarrow V$  such that the relation  $J.O_{\tilde{V}} = I.O_{\tilde{V}}$  holds.

*Proof.* The equivalence of (1) and (2) are due to (2.3). Assume the condition (3) holds. There exists a birational morphism  $\tau : V' \rightarrow \tilde{V}$  such that  $I.O_{V'} = J.O_{V'}$  is local principal. By (2.2) we have  $e(J, O_{V,p}) = e(I, O_{V,p})$ . Next we assume there is an integer  $r > 0$  such that  $I^r J = I^{r+1}$ . Let  $\varphi : V' \rightarrow V$  be a birational morphism such that  $I.O_{V'}$  is local principal. Then we have  $J.I^r.O_{V'} = I^{r+1}.O_{V'}$  and have  $J.O_{V'} = I.O_{V'}$ .

By this we can see the reduction property of ideals are open condition as in the following sense.

**DEFINITION (2.5).** Let  $J$  be an ideal of  $O_{V,p}$ . A deformation of ideal  $J$   $\varrho : \tilde{J} \rightarrow Y \ni o$  over a scheme  $Y$  with a reference point  $o$  is an ideal  $\tilde{J}$  of  $O_{V \times Y}$  at  $p \times Y$  such that  $\varrho^{-1}(o) = J$ .

**PROPOSITION (2.6).** Let  $J \subset I$  be  $m$ -primary ideals of  $O_{V,p}$  and  $\varrho : \tilde{J} \rightarrow Y \ni o$  be a deformation of ideal of  $J$ . Suppose  $J$  is a reduction of  $I$ . Then there is a Zariski open neighborhood  $U$  of  $o$  in  $Y$  where  $\tilde{J}_y = \varrho^{-1}(y)$  is a reduction of  $I$  for any point  $y$  of  $U$ .

*Proof.* There is an integer  $r > 0$  such that  $I^r J = I^{r+1}$  and  $\varphi : V' \rightarrow V$  be a birational morphism such that  $I.O_{V'}$  is local principal and  $V'$  is normal. Then we have  $J.O_{V'} = I.O_{V'}$ . Consider the morphism  $\tilde{\varphi} : V' \times Y \rightarrow V \times Y$  with  $I.O_{V' \times Y} \supset \tilde{J}$ . Here  $I.O_{V' \times Y}$  is defined as an invertible  $O_{V' \times Y}$  in a trivial extension of  $I.O_{V'}$ . Now we have

the relation  $\tilde{J}O_{V' \times Y} + \varphi^{-1}(m_o)I.O_{V' \times Y} = I.O_{V' \times Y}$ . Hence  $I.O_{V' \times Y}$  and  $\tilde{J}.O_{V' \times Y}$  are equal at each generic points of  $V' \times Y$  which contains a point of  $\tilde{\varphi}^{-1}(o) = V' \times o$ . In particular the reflexive hull  $(\tilde{J}.O_{V' \times Y})^{**}$  equals  $I.O_{V' \times Y}$ . Let  $S \subset V' \times Y$  be the non-reflexive locus of  $\tilde{J}.O_{V' \times Y}$ . Then  $S$  does not intersects  $V' \times o$  and  $\varphi(S)$  does not contain the point  $o$ . By Corollary (2.4) at any point  $y \in Y - \varphi(S)$ ,  $\tilde{J}_y$  is a reduction of  $I$ . Q.E.D.

COROLLARY (2.7). Let  $I$  be an  $m$ -primary ideal of  $O_{V,p}$  generated as  $I = (f_1, \dots, f_s)$ . Suppose that  $O_{V,p}$  contains a field  $k$  and that there is a reduction  $J$  of  $I$  written as

$J = (y_1, \dots, y_m)O_{V,p}$  where

$$y_i = \sum_{j=1}^s a_{i,j} x_j, \quad \text{with } a_{i,j} \in k \quad 1 \leq i \leq m, \quad 1 \leq j \leq s.$$

Then there is a Zariski open neighborhood  $U$  of  $(a_{i,j})$  in  $k'^m$  such that  $J_b = (z_1, \dots, z_m)O_{V,p}$  is a reduction of  $I$  for  $z_i = \sum_{j=1}^s b_{i,j} x_j$ , with  $(b_{i,j}) \in U$

*Proof.* Define the deformation of  $J$  by  $\tilde{J} = \coprod_{b \in k'^m} J_b$  over  $k'^m$ . The the assertion follows from Proposition 3. Q.E.D.

We state the following which is a higher dimensional analogue of a theorem of Laufer (cf. [L1]):

THEOREM (2.8). Let  $(W, w)$  be a normal  $d$ -dimensional singularity and  $(x_1, \dots, x_d)$  a parameter system of  $O_{W,w}$ . Let  $\psi : X \rightarrow W$  be a projective modification with normal  $X$  and  $E = \psi^{-1}(w)$ . We write  $\text{div}_X(x_i O_X)$  by

$$\text{div}_X(x_i O_X) = D(x_i O_W, \psi) + W_{x_i, \psi} \quad i = 1, \dots, d,$$

where  $W_{x_i, \psi}$  is the strict transform of  $\{x_i = 0\}$  and  $D(x_i O_W, \psi)$  is the part of  $E$ . We assume that the divisor  $W_{x_i, \psi}$  is  $\mathbb{Q}$ -Cartier for  $i = 1, \dots, d$ .

If  $W_{x_1, \psi} \cap \dots \cap W_{x_d, \psi}$  is empty, we have the relation

$$e((x_1, \dots, x_d), O_{W,w}) = (-1)^{d+1} D(x_1 O_W, \psi) \dots D(x_d O_W, \psi)$$

We omit the proof.

### §3 On Demazure's description of normal graded rings.

(3.1) The purpose of this section is to collect the generalities of Demazure's description  $R(E, D)$  of the normal graded ring  $R$  in the connection with the number

$$\left( \prod_{i=1}^d \deg x_i \right) \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda).$$

As there are many good references on this subjects [D], [W 敬 1], [W 敬 2], we will review a computation method for Demazure's divisor  $D$  by a tentative way as follows ( cf. [T1] ): Let  $R = \bigoplus_{k \geq 0} R_k$  be a normal  $d$ -dimensional graded ring with  $R_0 = k$ ,  $R_+$  the homogeneous maximal ideal with a generator consisting in homogeneous elements  $x_1, \dots, x_s$  as  $R_+ = (x_1, \dots, x_s)R$  with  $x_i \in R_{q_i}$  for  $i = 1, \dots, s$ . We assume the condition  $G.C.D.(q_1, \dots, q_s) = 1$ . There are integers  $u_1, \dots, u_s$  such that  $\sum_{i=1}^s u_i q_i = 1$ . We choose a homogeneous element  $T$  of the quotient field of  $R$  as  $T = \prod_{i=1}^s (x_i)^{u_i}$ . We represent

$$x_i R = \bigcap_{Q \in HP(R)} Q^{(a_i Q)}, \quad i = 1, \dots, s.$$

Here  $HP(R)$  is the set of homogeneous prime ideals of height 1. By Demazure's fundamental works we can represent  $D$  as follows:

**THEOREM (3.2)(DEMAZURE [D]).** *In the above situation, we define the divisor  $D$  associated to  $T$  as*

$$D = \sum_{i=1}^s \left( \sum_{Q \in HP(R)} \frac{u_i a_i Q}{N(Q)} \cdot V(Q) \right) \in \text{Div}(E) \otimes \mathbb{Q}$$

where  $V(Q)$  is the integral Weil divisor on  $E = \text{Proj}(R)$  defined by  $Q$  and  $N(Q)$  is the integer defined as ;

$$N(Q) = G.C.D.\{n \in \mathbb{Z} \mid n > 0 \text{ and } (R/Q)_n \neq 0\} \text{ ( cf. (5.9.1) of [TW1] ).}$$

Then we obtain the equality

$$R = \bigoplus_{k \geq 0} H^0(E, \mathcal{O}_E(kD)) \cdot T^k \text{ in } k(E)[T].$$

**Example (3.3).** Let  $R$  is a normal  $d$ -dimensional (  $d \geq 2$  ) graded ring of the Brieskorn type as follows :  $R = \mathbb{C}[x_1, \dots, x_{d+1}] / \{(x_1)^{a_1} + \dots + (x_{d+1})^{a_{d+1}}\}$  where

$a_1, \dots, a_{d+1}$  are integers  $\geq 2$ . Introduce the weight of each monomials of  $\mathbb{C}[x_1, \dots, x_{d+1}]$  as the degree of  $x_i = L.C.M.(a_1, \dots, a_{d+1})/a_i$ . We simply denote it as  $q_i$ , for  $i = 1, \dots, d+1$ .

Then the Demazure divisor  $D$  associated to  $T$  is written as

$$D = \sum_{i=1}^{d+1} \frac{u_i}{G.C.D.(q_1, \dots, \overset{\wedge}{i}, \dots, q_{d+1})} . D_i \in \text{Div}(E) \otimes \mathbb{Q}$$

where  $D_i$  is the integral Weil divisor on  $E = \text{Proj}(R)$  defined by the canonical morphism  $D_i = \text{Proj}(R/x_i R) \rightarrow \text{Proj}(R) = E$  for  $i = 1, \dots, d+1$  ( see (1.9) of [T1] for a proof ).

LEMMA (3.4). *Let  $R = R(E, D)$  be a normal  $d$ -dimensional graded ring with Demazure's description . Let us consider the singularity of  $\text{Spec}(R)$  at  $V(R_+)$ . Let*

$$\psi : C = C(E, D) = \text{Spec}_E(\oplus_{k \geq 0} O_E(kD)) \rightarrow \text{Spec}(R)$$

*be the partial resolution by the filtered blowing-up of  $\text{Spec}(R)$  with respect to the filtration induced by grading of  $\text{Spec}(R)$ . Let  $x_1, \dots, x_d \in R$  be a parameter system at  $R_{R_+}$  . Suppose  $x_1, \dots, x_r$  with  $r \leq d$  be homogeneous elements. Then we have*

$$\dim W_{x_1, \psi} \cap \dots \cap W_{x_d, \psi} \leq d - r - 1 \text{ in } C(E, D).$$

*Hence in the case  $r = d$  ,  $W_{x_1, \psi} \cap \dots \cap W_{x_d, \psi}$  is empty . In this case  $e((x_1, \dots, x_d), R_{R_+})$  is computed by Theorem (2.8).*

By (2.8) and (3.4) we obtain the following.

COROLLARY (3.5). *Let  $R = R(E, D)$  be a normal  $d$ -dimensional graded ring with Demazure's description and  $x_1, \dots, x_d \in R$  be a homogeneous parameter system of  $R$  .*

$$e((x_1, \dots, x_d), R) = (-1)^{d+1} \left( \prod_{i=1}^d \deg x_i \right) . E^d.$$

*Here  $E^d$  is the intersection multiplicity in  $C = C(E, D)$  .*

LEMMA (3.6). *Let  $R = R(E, D)$  be a normal  $d$ -dimensional graded ring with Demazure's description and*

$$\psi : C = C(E, D) = \text{Spec}_E(\oplus_{k \geq 0} O_E(kD)) \rightarrow \text{Spec}(R)$$

be the filtered blowing-up of  $\text{Spec}(R)$  with respect to the filtration induced by grading of  $\text{Spec}(R)$ .

Then we have the relation

$$D^{d-1} = (-1)^{d+1} E^d.$$

By using (1.7) we obtain the following.

**COROLLARY (3.7).** *In the situation (3.6), assume  $x_1, \dots, x_d \in R$  be a homogeneous parameter system.*

$$\begin{aligned} e((x_1, \dots, x_d), R) &= \left( \prod_{i=1}^d \deg x_i \right) \cdot D^{d-1} \\ &= \left( \prod_{i=1}^d \deg x_i \right) \cdot \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(R, \lambda). \end{aligned}$$

#### §4 Proof of Theorem (1.6).

(4.1) *The inequality (i) of (1).* Let a system of elements  $x_1, \dots, x_s$  of the maximal ideal  $m$  of  $A$  whose initial forms with respect to the filtration  $F$  give the minimal homogeneous generator of  $G_+$  as follows ;  $x_i \in F^{q_i} - F^{q_i+1}$  and the initial forms  $\text{in}_F(x_i) = \bar{x}_i \in G_{q_i}$  satisfies the relations  $G_+ = (\bar{x}_1, \dots, \bar{x}_s)G$  and  $q_1 \leq \dots \leq q_s$ . We can easily see the relations  $m = F^n + (x_1, \dots, x_s)A$  for any positive integer  $n$ . There is an integer  $n$  such that  $F^n \subset m^2$ . Hence  $m = (x_1, \dots, x_s)$  by NAK.

There is a system of parameter  $y_1, \dots, y_d$  which is a minimal reduction of  $m$  and given as linear combination of  $x_1, \dots, x_s$  as follows :

$$y_i = \sum_{j=1}^s a_{i,j} x_j, \quad \text{where } a_{i,j} \in k.$$

with  $1 \leq i \leq d$ ,  $1 \leq j \leq s$ . By the openness of reduction property ( Corollary (2.7) ), we may assume  $A = (a_{i,j})_{1 \leq i,j \leq d}$  is regular. So we can choose  $y_i$  in the following form:

$$y_i = x_i + \sum_{j=d+1}^s a_{i,j} x_j, \quad \text{where } a_{i,j} \in k$$

for  $1 \leq i \leq d$  from the beginning .

Let  $L$  be a positive integer divided by  $L.C.M.(deg x_1, \dots, deg x_d)$ . By Leck's lemma

$$e((y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}}), A) = \frac{L}{q_1} \cdot \dots \cdot \frac{L}{q_d} \cdot e((y_1, \dots, y_d), A).$$

Since  $y_i^{\frac{L}{q_i}} \in F^L$  for  $1 \leq i \leq d$ , we have

$$e(F^L, A) \leq \frac{L}{q_1} \cdot \dots \cdot \frac{L}{q_d} \cdot e((y_1, \dots, y_d), A).$$

There is an integer  $L$  as above and satisfies the relation  $F^{mL} = (F^L)^m$  for any positive integer  $m$ , that is  $(\oplus_{k \geq 0} F^k \cdot T^k)^{(L)} = A[F^L \cdot T^L]$ . To finish the proof, it is sufficient to show the following:

LEMMA (4.2). Let  $L$  be a positive integer such that the relation  $F^{mL} = (F^L)^m$  holds for any positive integer  $m$ . Then

$$e(F^L, A) = L^d \cdot \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda).$$

*Proof.* By the assumption,  $\oplus_{k \geq 0} F^{kL} / F^{(k+1)L}$  is generated by  $F^L / F^{2L}$ . Hence we obtain the equality ( see §13 and §14 of [Matsumura] ):

$$e(F^L, A) = \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(\oplus_{k \geq 0} F^{kL} / F^{(k+1)L}, \lambda).$$

Let us introduce the notation as  $G^{(L)} = \oplus_{k \geq 0} F^{kL} / F^{(k+1)L}$  and  $G^{(L,l)} = \oplus_{k \geq 0} F^{kL+l} / F^{(k+1)L+l}$  for  $l = 0, \dots, L-1$ . Since there is an integer  $M$  such that  $F^L \cdot F^b = F^{L+b}$  holds for any  $b \geq M$ ,  $G^{(L,l)}$  is a finite  $G^{(L)}$ -module for  $l = 0, \dots, L-1$ . As graded  $G^{(L)}$ -modules, we calculate the Poincare series ;  $P(G^{(L,l)}, \mu) \in \mathbb{Z}[[\mu]]$  for  $l = 0, \dots, L-1$ . For each  $i$ ,  $\lim_{\mu \rightarrow 1} (1 - \mu)^d P(G^{(L,l)}, \mu)$  is a finite number. We have the relations

$$\begin{aligned} (1 - \mu)^d P(G, \mu) &= \sum_{l=0}^{L-1} (1 - \mu)^d P(G^{(L,l)}, \mu^L) \cdot \mu^l \\ &= \sum_{l=0}^{L-1} (1 - \mu^L)^d P(G^{(L,l)}, \mu^L) \cdot \frac{(1 - \mu)^d}{(1 - \mu^L)^d} \\ &+ \sum_{l=0}^{L-1} (1 - \mu^L)^d P(G^{(L,l)}, \mu^L) \cdot \frac{(1 - \mu)^d}{(1 - \mu^L)^d} (\mu^l - 1). \end{aligned}$$

Hence

$$\begin{aligned}
\lim_{\mu \rightarrow 1} (1 - \mu)^d P(G, \mu) &= \lim_{\mu \rightarrow 1} (1 - \mu^L)^d \sum_{l=0}^{L-1} P(G^{(L,l)}, \mu^L) \cdot \frac{1}{L^d} \\
&= \lim_{\mu \rightarrow 1} (1 - \mu)^d P\left(\sum_{l=0}^{L-1} G^{(L,l)}, \mu\right) \cdot \frac{1}{L^d} \\
&= \lim_{\mu \rightarrow 1} (1 - \mu)^d P\left(\bigoplus_{k \geq 0} F^{kL} / F^{(k+1)L}, \mu\right) \cdot \frac{1}{L^d} \\
&= e(F^L, A) \frac{1}{L^d}.
\end{aligned}$$

(4.3) *Proof of (2).* Let  $y_1, \dots, y_d$  be a parameter system of  $A$  as in the arguments of (4.1). By the assumption we have the equality

$$e((y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}}), A) = e(F^L, A).$$

Hence  $(y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}})$  is a reduction of  $F^L$  by a Theorem of Rees. There is an integer  $r > 0$  such that

$$(F^L)^{r+1} = (F^L)^r (y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}}) \text{ in } A.$$

Let  $\psi : X = \text{Proj}(\bigoplus_{k \geq 0} F^k \cdot T^k) \rightarrow \text{Spec}(A)$  be the filtered blowing-up of  $\text{Spec}(A)$  by  $F$ . We have

$$(F^L)^{r+1} O_X = (F^L)^r (y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}}) O_X \text{ in } O_X.$$

Here  $R^L O_X = O_X(L)$  is an invertible  $O_X$ -module sheaf, we obtain the relation

$$F^L O_X = O_X(L) = (y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}}) O_X.$$

We represent the strict transform of the scheme  $\text{Spec}(A/y_i)$  by  $\psi$  as  $W_{y_i, \psi}$  for  $i = 1, \dots, d$ . Since  $(y_1^{\frac{L}{q_1}}, \dots, y_d^{\frac{L}{q_d}}) O_X$  is locally free,  $W_{y_1, \psi} \cap \dots \cap W_{y_d, \psi} \cap E$  is empty. Here

$$W_{y_j, \psi} \cap E = \text{Proj}(G/\text{In}(y_j)G),$$

where  $\text{In}(y_j)$  is the initial homogeneous element of  $y_j$ . Therefore  $\text{In}(y_1), \dots, \text{In}(y_d)$  is a parameter system of  $G$ .

(4.4) *Proof of the inequality (ii) of (1).* There is an integer  $L$  satisfies the relation  $G|_{mL} = (G|_L)^m$ , that is  $(G^h)^{(L)} = G[G|_L]$ . Now we have  $e(G|_L, G) = L^d \cdot \lim_{\lambda \rightarrow 1} (1 -$

$\lambda)^d P(G, \lambda)$  by (4.2). We can easily see  $G|_{q,L} \subset (G_+)^L$ . Hence

$$\begin{aligned} L^d e(G_+, G) &= e((G_+)^L, G) \leq e(G|_{q,L}, G) = e((G|_L)^{q_*}) = q_*^d e(G|_L, G) \\ &= q_*^d \cdot L^d \cdot \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda) \end{aligned}$$

Therefore  $e(G_+, G) \leq q_*^d \cdot \lim_{\lambda \rightarrow 1} (1 - \lambda)^d P(G, \lambda)$ .

(4.5) *Proof of (3)* By assumption  $(G|_L)^{q_*}$  is a reduction of  $G_+^L$ . As same as in the arguments of (1) we consider the filtered blowing up  $\psi$  of  $\text{Spec}(G)$ . By the assumption  $G$  is described by Demazure's method as  $G = R(E, D)$ . As in §3, we will represent  $\psi$  as :

$$\psi : C = C(E, D) = \text{Spec}_E(\oplus_{k \geq 0} O_E(kD)) \rightarrow \text{Spec}(R).$$

We obtain the relation

$$R_+^L O_C = (R|_L)^{q_*} O_C = O_C(-q_* L E) \text{ on } C.$$

Since  $x_1^L$  is not contained in  $R|_{L_{q_1+1}}$ , we have the relation  $R_+^L O_C = O_C(-L_{q_1} E)$ . Hence  $q_1 = q_*$ .

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